Case 1:03-cv-12529-JLT Document 40-16 Filed 08/13/2004 Page 1 of 11

**EXHIBIT 7** 



4 (NENG)

GENOVESI FORM 4 Filed on 11/25/2003 – Period: 11/24/2003 File Number 000–30863



# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response... 0.5 Name and Address of Reporting Person GENOVESI LAWRENCE A C/O NETWORK ENGINES, INC. 25 DAN ROAD CANTON, MA 02021 2. Issuer Name and Ticker or Trading Symbol: NETWORK ENGINES INC [NENG] 3. Date of Earliest Transaction (Month/Day/Year): 11/24/2003 4. If Amendment, Date Original Filed (Month/Day/Year): 5. Relationship of Reporting Person(s) to Issuer \_\_X\_\_ Director \_\_\_\_ 10% Owner \_\_\_\_\_ Officer (give title below) Other (specify below) 6. Individual or Joint/Group Reporting \_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security: Common Stock
 Transaction Date (Month/Day/Year): 11/24/2003
 Deemed Execution Date, if any (Month/Day/Year): 11/24/2003

2A. Deemed Execution Date, if any (Month/Day/Year): 11/24/2003
3. Transaction Code: S
4. Securities Acquired (A) or Disposed of (D): D
Securities Acquired or Disposed of (Amount): 5,000
Securities Acquired or Disposed of (Price): 9.5
5. Amount of Securities Beneficially Owned Following Reported Transaction(s): 580,475
6. Ownership Form: Direct (D) or Indirect (I): D
7. Nature of Indirect Beneficial Ownership:

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Reporting Owners

1. Reporting Owner Name / Address:
GENOVESI LAWRENCE A C/O NETWORK ENGINES, INC. 25 DAN ROAD CANTON, MA 02021
2. Relationship
Director: X 10% Owner: Officer: Other:

## Signatures

Lawrence A Genovesi 11/25/2003

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.

#### Remarks:

Sale pursuant to 10b5-1 Plan

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.



4 (NENG)

**GENOVESI FORM 4 Filed on 11/28/2003 – Period: 11/25/2003**File Number 000–30863



# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response... 0.5 Name and Address of Reporting Person GENOVESI LAWRENCE A C/O NETWORK ENGINES, INC. 25 DAN ROAD CANTON, MA 02021 2. Issuer Name and Ticker or Trading Symbol: NETWORK ENGINES INC [NENG] 3. Date of Earliest Transaction (Month/Day/Year): 11/25/2003 4. If Amendment, Date Original Filed (Month/Day/Year): 5. Relationship of Reporting Person(s) to Issuer \_\_X\_\_ Director \_ 10% Owner \_\_\_\_ Officer (give title below) \_\_\_ Other (specify below) 6. Individual or Joint/Group Reporting \_X\_ Form Filed by One Reporting Person \_\_\_\_ Form Filed by More than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security: Common Stock 2. Transaction Date (Month/Day/Year): 11/25/2003 2A. Deemed Execution Date, if any (Month/Day/Year): 11/25/2003 3. Transaction Code: S 4. Securities Acquired (A) or Disposed of (D): D Securities Acquired or Disposed of (Amount): 15,000 Securities Acquired or Disposed of (Price): 10.1 5. Amount of Securities Beneficially Owned Following Reported Transaction(s): 565,475 6. Ownership Form: Direct (D) or Indirect (I): D 7. Nature of Indirect Beneficial Ownership:

Title of Security: Common Stock
 Transaction Date (Month/Day/Year): 11/26/2003
 Deemed Execution Date, if any (Month/Day/Year): 11/26/2003
 Transaction Code: S
 Securities Acquired (A) or Disposed of (D): D

Securities Acquired or Disposed of (Amount): 15,000
Securities Acquired or Disposed of (Price): 10.44

5. Amount of Securities Beneficially Owned Following Reported Transaction(s): 550,475

6. Ownership Form: Direct (D) or Indirect (I): D

7. Nature of Indirect Beneficial Ownership:

1. Title of Security: Common Stock
2. Transaction Date (Month/Day/Year): 11/28/2003

2A. Deemed Execution Date, if any (Month/Day/Year): 11/28/2003

3. Transaction Code: S

4. Securities Acquired (A) or Disposed of (D): D
Securities Acquired or Disposed of (Amount): 5,000
Securities Acquired or Disposed of (Price): 10.5

5. Amount of Securities Beneficially Owned Following Reported Transaction(s): 545,475

6. Ownership Form: Direct (D) or Indirect (I): D

7. Nature of Indirect Beneficial Ownership:

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Reporting Owners

 Reporting Owner Name / Address: GENOVESI LAWRENCE A C/O NETWORK ENGINES, INC. 25 DAN ROAD CANTON, MA 02021
 Relationship Director: X 10% Owner: Officer: Other:

## Signatures

Lawrence Genovesi 10/28/2003 Lawrence Genovesi 10/28/2003

#### Explanation of Responses:

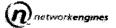
- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### Remarks:

Sale pursuant to 10b5-1Plan

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.



4 (NENG)

GENOVESI FORM 4 Filed on 12/02/2003 – Period: 12/02/2003 File Number 000–30863



A STATE OF THE STA

## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response... 0.5 Name and Address of Reporting Person GENOVESI LAWRENCE A C/O NETWORK ENGINES, INC. 25 DAN ROAD CANTON, MA 02021 2. Issuer Name and Ticker or Trading Symbol: NETWORK ENGINES INC [NENG] 3. Date of Earliest Transaction (Month/Day/Year): 12/02/2003 4. If Amendment, Date Original Filed (Month/Day/Year): 5. Relationship of Reporting Person(s) to Issuer \_\_X\_\_ Director \_\_\_\_ 10% Owner \_\_\_\_ Officer (give title below) \_ Other (specify below) 6. Individual or Joint/Group Reporting \_X\_ Form Filed by One Reporting Person \_\_\_ Form Filed by More than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security: Common Stock
 Transaction Date (Month/Day/Year): 12/01/2003
 Deemed Execution Date, if any (Month/Day/Year): 12/01/2003

2A. Deemed Execution Date, if any (Month/Day/Year): 12/01/2003
3. Transaction Code: S
4. Securities Acquired (A) or Disposed of (D): D
Securities Acquired or Disposed of (Amount): 35,000
Securities Acquired or Disposed of (Price): 10.72
5. Amount of Securities Beneficially Owned Following Reported Transaction(s): 510,475
6. Ownership Form: Direct (D) or Indirect (I): D
7. Nature of Indirect Beneficial Ownership:

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Reporting Owners

1. Reporting Owner Name / Address:
GENOVESI LAWRENCE A C/O NETWORK ENGINES, INC. 25 DAN ROAD CANTON, MA 02021
2. Relationship
Director: X 10% Owner: Officer: Other:

## Signatures

Lawrence A Genovesi 12/02/2003

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.

#### Remarks:

Sale pursuant to 10b5-1 Plan

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.